



Integrity. Performance. Results.

Company Overview

Established in 1988, The Siegfried Group, LLP is a national professional services firm that provides a full range of accounting and financial reporting services to the Fortune 1000. Our unique and reliable delivery model allows companies to extend and enhance their internal workforce to better complete critical accounting and financial work.

Siegfried is dedicated exclusively to providing its clients with ready access to highly skilled and experienced accounting and finance professionals on an as-needed basis. These professionals are typically long-term employees of The Siegfried Group with track records of high performance. Our professionals combine meaningful experience and expertise with a unique work ethic (commitment and flexibility), uncommon problem solving skills (mental agility and analytical ability), an impressive set of interpersonal skills (ability to connect and build chemistry with people) and confidence to produce consistently reliable results - *“Experience and Expertise that Works.”*

Our service offering is distinguished from the “typical” consulting engagements because we allow our clients to maintain full project control. Our clients have confirmed back to us over and over that our model: (a) minimizes project risk, (b) accelerates delivery time, and (c) reduces overall cost -- translating to a higher value. We support our global clients through our 16 office footprint performing our services at our clients’ offices, throughout the US and world.

Financial Services & Banking Clients

Allianz Global Investors	Freddie Mac
AIG	ING
American Express	JPMorgan Chase
Apollo Management Group	KBC Financial Products
Bank of America	Lincoln Financial Group
Barclay's	MF Global
Blackstone Group	Bank of New York
Brown Brothers Harriman	Mellon
Citigroup	OpHedge Investment Services
Deutsche Bank	Prudential Financial
Fannie Mae	Soros Fund Management
First Data Corporation	State Street
Franklin Templeton	Wells Fargo

Controllership – Corporate or Business Unit

External Reporting

- Preparation and review of periodic SEC filings, inclusive of disclosures
- Preparation and review of other Regulatory (OCC, FDIC, Federal Reserve) and Statutory filings (State Insurance Commissions), inclusive of disclosures
- Preparation and review of analysis to support filings and disclosures; ensuring preparation and documentation meets standards expected by external auditors and the requirements of Sarbanes-Oxley

Accounting Operations

- Key accounting support during the period-end close and reporting process, including maintenance and reconciliation of the General Ledger and supporting Sub-Ledgers
- Account analysis and reconciliation related to problem areas or accounts
- Legal Entity reporting and analysis
- Internal management reporting including Product Controllership and daily P&L analysis

Tax Compliance and Reporting

- Federal, State and Local Tax compliance and reporting
- Tax Provision FAS 109 and FIN 48 issues

Consolidations

- Review of period-end submissions from subsidiaries; ensuring corrections as needed and proper entry into consolidations tools such as Hyperion Enterprise
- Monthly inter-company reconciliations to ensure Legal Entity balances of Controllers offset properly by counterparties' balances

Accounting Policy and Technical Accounting

- Research of new accounting pronouncements and summarized impact for management, including the development or refinement of accounting policies
- Development and implementation of accounting policies across a variety of areas (for example, FAS 157 Fair Value Measurement, SFAS 123R Stock Based Compensation)

Special Projects – including Project Management

- Bank Holding Company conversions
- Restatements
- IFRS Readiness
- System enhancement and conversions



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<p>Sarbanes – Oxley / Internal Audit</p>	<p>Sarbanes Oxley</p> <ul style="list-style-type: none"> • Scoping, risk assessment, development and enhancement of test plans in accordance with Audit Standard 5 • Identification and documentation of key processes/controls • Perform key control testing including walkthroughs • Assist with the remediation of key controls’ deficiencies and/or material weaknesses • Sarbanes Oxley 302 Certification Process • Reviewed vendor contracts for compliance with terms 	<p>Internal Audit</p> <ul style="list-style-type: none"> • Preparation of the annual audit plan – scoping, budgeting and scheduling • Execution of the annual audit plan – perform financial and operational audits • Year-end audit preparation – “Prepared By Client” schedules – enhance efficiency, effectiveness and timing of the annual audit • Support compliance programs including AML and KYC
<p>Financial Planning & Analysis</p>	<p>Financial Analysis</p> <ul style="list-style-type: none"> • Development, tracking and reporting of Key Performance Indicators • Budget/Forecast analysis • Analysis of client and line of business (LOB) profitability and business trends • Financial modeling and Access database expertise • Evaluation of capital commitment requests and business justifications 	<p>Budgeting & Forecasting</p> <ul style="list-style-type: none"> • Strategic planning and budgeting • Execution of periodic forecasting processes • Preparation of Balance Sheet and Cash Flow Forecasts • Implementation of EPM and Business Intelligence Tools – Business Objects, Clarity, and Pillar
<p>Fund Accounting</p>	<p>Financial Reporting and Compliance</p> <ul style="list-style-type: none"> • Month-end close activities • Planning, management, and execution of the financial statement reporting process • Detailed and high-level review of financial statements to ensure proper disclosure and compliance with SEC and IRS requirements • Preparation of Statement of Cash Flows • Monitoring of and recommendations for compliance policies and procedures • Price verification of listed positions • Reconciliation of the front office management system and sub-ledger balances to the general ledger including preparation of position reports • NAV Allocations 	<p>Partnership & RIC Taxation</p> <ul style="list-style-type: none"> • Prepare and review federal and state tax returns, review fiscal and excise provisions • Review book to tax calculations to ensure the accuracy of taxable income <p>Special Projects</p> <ul style="list-style-type: none"> • Fund Conversions including cash reconciliations, establishing trial balances and balance sheet preparation • NAV Implementations • Timely completion of Executive Board requests
<p>Strategic & Operational Initiatives</p>	<p>Transaction Support</p> <ul style="list-style-type: none"> • Post-Acquisition Integration • Divesture / Carve-Out Financials • IPO / Rule 144A / Equity Offering Support <p>Change Initiatives</p> <ul style="list-style-type: none"> • Process Optimization / Six Sigma Initiatives • Process Migrations / Shared Services Implementations • System Implementations 	<p>Day to Day</p> <ul style="list-style-type: none"> • Meet Critical Deadlines • Easy Access to Needed Technical Expertise • Backfilling Key Positions (short or long term) • Experienced “floaters” to balance workload • Travelers – Fast Support to Remote or International Locations