



## INSURANCE CLIENTS

### **NATIONAL INSURANCE PROVIDER**

- Developed a policies and procedures manual for the accounting of loss sensitive contracts.
- Developed an "audit checklist" to review the adherence to policies and procedures by the various field offices.

### **GLOBAL INSURANCE COMPANY**

- Assisted with the assessment of internal controls and preparation for the year-end Sarbanes-Oxley attestation process.
- Documented and tested internal controls in all key functional areas, coordinated testing cycles and analyzed results in multiple locations, and addressed any control deficiencies discovered.
- Maintained a SOX database as a central book of record and assisted in creating guidance and management documentation.

### **ONE OF THE WORLD'S LARGEST INSURANCE FIRMS**

- Calculated interim estimated and actual premium adjustments.
- Assisted with the implementation of new billing system.
- Assisted with the development of a centralized source of all contract and policy information for loss billing, booking, and reimbursement.

### **GLOBAL PETROCHEMICAL COMPANY**

- Assisted with first year SOX compliance efforts.
- Assisted with documenting narratives, flowcharts, and control descriptions
- Tested controls for operating effectiveness.
- Coordinated meetings with appropriate parties to remediate control deficiencies.

### **ONE OF THE TOP US INSURERS**

- Performed the balance sheet account reconciliation peer review.



### **LARGE NOT-FOR-PROFIT HMO**

- Documented the policies and procedures of all key functional areas, assessed the current controls and developed test plans for these controls as part of the client's SOX initiatives.
- Completed the NAIC forms summarizing financial information.
- Designed policies and procedures for the SEC reporting function.

### **INSURER OF DOMESTIC AND INTERNATIONAL NUCLEAR UTILITIES**

- Documented controls and procedures related to all components of internal control in all key functional areas.
- Identified a plan for testing the controls they documented, allowing for a smooth transition from the documentation phase.

### **FORTUNE 500 SPECIALTY INSURANCE PROVIDER**

- Created and documented new processes for their financial statement close.
- Assisted with audit preparation.
- Provided international accounting support.
- Analyzed financial statements.